

PART B - FEE(S) TRANSMITTAL

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address, as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Show the mark, if any, change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fees Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

1005 7570 10/11/2013
NOVARTIS PHARMACEUTICAL CORPORATION
INTELLECTUAL PROPERTY DEPARTMENT
ONE HEALING PLAZA 101/2
EAST HANOVER, NJ 07936-1080

Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE, address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Karen Zielen	(Depositor's name)
/Karen Zielen/	(Signature)
January 10, 2013	(Date)

APPLICATION NO. 09/643,384	FILING DATE 10/07/1999	FIRST NAMED INVENTOR ANDREW CLARK	ATTORNEY DOCKET NO. PAT053245-US-CNT	CONFIRMATION NO. 1236
-------------------------------	---------------------------	--------------------------------------	---	--------------------------

TITLE OF INVENTION: FLOW RESISTANCE MODULATED AEROSOLIZED ACTIVE AGENT DELIVERY

APPL. TYPE	EMAIL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEES DUE	DATE DUE
nonprovisional	NO	\$1770	\$300	\$0	\$2070	01/11/2013

EXAMINER	ART UNIT	CLASS OFFICER
DIXON, ANNETTE FREDRICKA	3778	424-045000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB-412) attached:

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB-412, Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list:

(1) the names of up to 1 registered patent attorneys or agents, OR, alternatively,

(2) the name of a single firm having as a member a registered attorney or agent and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Janah & Associates, P.C.

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NOVARTIS AG

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

BASIL, CH

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☐ A check is enclosed
☐ Payment by credit card. Form 1790-2012 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 49-0134. (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above):

☐ A Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ B Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent, or the assignee is other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature: /Michael J. Mazza/

Date: 10 Jan 2013

Typed or printed name: Michael J. MAZZA

Registration No.: 30775

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file and by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 132 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.